Earth Resources Regulation

Quarterly Performance Report

2022-23 Quarter 2

1 October to 31 December 2022

# About this report

Earth Resources Regulation is Victoria’s earth resources regulator. Our role includes granting rights to access resources, assessing plans for the extraction of resources, assessing site rehabilitation liabilities and setting bonds, conducting compliance operations to ensure that authority holders fulfil their regulatory obligations and engaging with communities and stakeholders on regulatory matters.

Our quarterly performance and annual statistical reports are available on our website as part of our commitment to being an effective and transparent regulator.

This report provides a summary of our operating performance for quarter two of financial year 2022-23 (1 October to 31 December 2022).

The activities described in this report were undertaken before 1 January 2023 when Earth Resources Regulation moved from the Department of Jobs, Precincts and Regions (DJPR) to the new Department of Energy, Environment and Climate Action (DEECA).

## Performance highlights

* 1. **KPI 1#** – 92 per cent of extractive industries work plan stages were assessed within the statutory time frames, slightly below target (page 5).
     1. - 80 per cent of mineral work plan stages and licence applications were assessed within statutory time frames, below target (page 6).
        + 1. - 37 per cent of licence variations were completed within the Client Service Standard, below target (page 9).
          2. - Three extractive industries administrative changes (notification) were acknowledged (page 13).

1. **KPI 2#** - 88 operational compliance activities were undertaken, above target (page 16).
2. **KPI 3#** - 100 per cent of reportable incidents were responded to during this quarter, on target (page 22).
3. **KPI 5#** - The time to respond to complaints took on average three business days, on target (page 26).

# ****Key Performance Indicators 2022-23 Quarter 2****

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| KPI | High Level Indicators | Target | Target Period | Current Quarter | Previous Quarter | DJPR’s Compliance Policy Framework |
| KPI 1: Efficient Approvals Process | Percentage of extractive industries work plan stages assessed within statutory time frames. | 95% | Quarterly | 92% | 100% | Outputs/activities |
| KPI 1: Efficient Approvals Process | Percentage of mineral licence applications and mineral work plan stages assessed within statutory time frames. | 95% | Quarterly | 80% | 58% | Outputs/activities |
| KPI 1: Efficient Approvals Process | Percentage of tenement variations assessed within Client Service Standard time frames where a statutory time frame does not exist. | 95% | Quarterly | 37% | 65% | Outputs/activities |
| KPI 2: Ensuring Compliance | Number of operational compliance activities undertaken per quarter. | 75 activities | Quarterly | 88 | 101 | Inputs |
| KPI 3: Effective Incident Management | Percentage of reportable events responded to per quarter. | 100% | Quarterly | 100% | 100% | Short-term and  long-term outcomes |
| KPI 4: Facilitation of Stakeholder Engagement | Earth Resources Regulation attendance at Environmental Review Committee meetings. | 100% | Quarterly | 100% | 100% | Outputs/activities |
| KPI 4: Facilitation of Stakeholder Engagement | Number of Industry Reference Group meetings. | 4 meetings | Annual | 0 | 0 | Outputs/activities |
| KPI 4: Facilitation of Stakeholder Engagement | Number of Earth Resources Regulators Forum meetings. | 3 meetings | Annual | 1 | 1 | Outputs/activities |
| KPI 5: Complaint Management | Average number of days to respond to complaints made by community against tenements. | 3 business days | Quarterly | 3 | 2 | Outputs/activities |

## KPI 1: Efficient Approvals Process – Extractive Industries Work Plans

### Extractive industries work plan stages assessed within statutory time frame (STF)

A work plan stage represents a statutory decision point.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Quarter | Work Plan Type | Unique WP  Under Assessment | Stage STF (Target Days) | Stages Over STF | Stages Within STF | Total Stages | % (Within STF/Total) |
| FY 2022-23 Q2 | Work Plan (WA) | 16 | 28 | 2 | 22 | 24 | 92% |
| FY 2022-23 Q1 | Work Plan (WA) | 20 | 28 | 0 | 28 | 28 | 100% |

## Extractive industries work plans endorsed or approved in the quarter

|  |  |  |  |
| --- | --- | --- | --- |
| Quarter | Work Plan Type | Endorsed | Approved |
| FY 2022-23 Q2 | Work Plan (WA) | 2 | 2 |
| FY 2022-23 Q1 | Work Plan (WA) | 4 | 4 |

### Work authorities granted in the quarter

|  |  |  |
| --- | --- | --- |
| Quarter | Licence Type | Granted |
| FY 2022-23 Q2 | Work Authority | 3 |
| FY 2022-23 Q1 | Work Authority | 4 |

### Result

This performance indicator for extractive industries measures whether the work plan stages were assessed within the statutory time frames.

In Q2, 24 extractive work plan stages were assessed from 16 unique work plans of which 92% were within the statutory time frame, just short of the relevant KPI target (95%).

Two work plans were approved in the quarter, one for a new work authority and the other was a variation. Two work plans were statutorily endorsed and returned to the applicants to proceed with planning approval.

Three work authorities granted in the quarter will add an additional 600K tonnes of clay and gravel resources in the coming years

### Why are these measures important?

Earth Resources Regulation strives for a consistent and transparent approvals process, balancing efficiency but maintaining the rigour required for comprehensive assessment, consistent with the legislation.

## KPI 1: Efficient Approvals Process – Mineral Licences and Work Plans

### Table 1. Mineral licences and work plan stages assessed within statutory time frame (STF)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Quarter | Licence and Work Plan Stages | Over  STF | Within  STF | Total  (Over + Within STF) | % Within  STF/Total |
| FY 2022-23 Q2 | Mineral Licence Applications – (A) | 10 | 31 | 41 | 76% |
| FY 2022-23 Q2 | Mineral Work Plan Stages – (B) | 1 | 13 | 14 | 93% |
|  | Total | 11 | 44 | 55 | 80% |
| FY 2022-23 Q1 | Mineral Licence Applications – (A) | 14 | 13 | 27 | 48% |
| FY 2022-23 Q1 | Mineral Work Plan Stages – (B) | 1 | 8 | 9 | 89% |
|  | Total | 15 | 21 | 36 | 58% |

#### Result

This performance indicator combines mining licence applications, exploration licence applications and mineral industry work plan stages, and measures whether these were assessed within the statutory time frames.

In Q2, there were 55 (14 mineral work plan stages assessed and 41 mineral licence applications granted) of which 80% were assessed within the statutory time frames. This was an improvement over the previous quarter (58%) and moving closer to the KPI target (95%).

### Table A. Mineral licence applications assessed within statutory time frame (STF)

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Quarter | Licence Type | STF  (Target Days) | Over  STF | Within  STF | Total  (Over + Within STF) | % Within STF/Total |
| FY 2022-23 Q2 | Exploration Licence | 90 | 10 | 30 | 40 | 75% |
| FY 2022-23 Q2 | Prospecting Licence | 90 | 0 | 1 | 1 | 100% |
| FY 2022-23 Q2 | Mining Licence | 120 | 0 | 0 | 0 | N/A |
| FY 2022-23 Q2 | Retention Licence | 120 | 0 | 0 | 0 | N/A |
|  | Total | n/a | 10 | 31 | 41 | 76% |
| FY 2022-23 Q1 | Exploration Licence | 90 | 13 | 9 | 22 | 41% |
| FY 2022-23 Q1 | Prospecting Licence | 90 | 0 | 4 | 4 | 100% |
| FY 2022-23 Q1 | Mining Licence | 120 | 1 | 0 | 1 | 0% |
| FY 2022-23 Q1 | Retention Licence | 120 | 0 | 0 | 0 | N/A |
|  | Total | n/a | 14 | 13 | 27 | 48% |

#### Result

**Table A** is an expanded subset of Table 1 above. It details the regulator’s performance in assessing mineral licence applications.

In Q2, 76% (31 out of 41) applications were granted within the statutory time frames. Some licences granted over the statutory time frames were due to legacy caseload (submitted prior to 1 July 2019) and competing applications.

This result was an improvement over the previous quarter (48%) and moving closer to the KPI target (95%).

### Table B. Mineral work plan stages assessed within statutory time frame (STF)

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Quarter | Work Plan Type | WP  Approved | Unique WP  Under Assessment | Stage STF  (Target Days) | Stages Over  STF | Stages Within  STF | Total Stages | %  (Within STF/  Total) |
| FY 2022-23 Q2 | Work Plan (Exploration) | 1 | 7 | 28 | 0 | 9 | 9 | 100% |
| FY 2022-23 Q2 | Work Plan (Minerals) | 1 | 5 | 28 | 1 | 4 | 5 | 80% |
|  | Total | 2 | 12 | n/a | 1 | 13 | 14 | 93% |
| FY 2022-23 Q1 | Work Plan (Exploration) | 0 | 4 | 28 | 0 | 4 | 4 | 100% |
| FY 2022-23 Q1 | Work Plan (Minerals) | 0 | 4 | 28 | 1 | 4 | 5 | 67% |
|  | Total | 0 | 8 | n/a | 1 | 8 | 9 | 89% |

#### Result

**Table B** is an expanded subset of Table 1 above. It details the regulator’s performance in assessing mineral work plan application stages.

In Q2, 14 exploration and mining work plan stages were assessed from 12 unique work plans, of which 93% were assessed within the statutory time frames. One work plan stage was over the statutory time frame due to the plan’s complexity. One exploration and mineral work plan was approved in the quarter.

**Explanatory note:**

Earth Resources Regulation received funding to temporarily expand the licensing team’s capacity to address the current legacy caseload.

## KPI 1: Efficient Approvals Process – Tenement Variations

### Tenement variations approved within Client Service Standard (CSS)

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Quarter | Licence Type | CSS (Target Days) | Over CSS | Within CSS | Total (Over + Within CSS) | % Within CSS/Total |
| FY 2022-23 Q2 | Exploration Licence | 90 | 8 | 4 | 12 | 33% |
| FY 2022-23 Q2 | Mining Licence | 120 | 2 | 1 | 3 | 33% |
| FY 2022-23 Q2 | Prospecting Licence | 90 | 0 | 0 | 0 | N/A |
| FY 2022-23 Q2 | Retention Licence | 120 | 0 | 0 | 0 | N/A |
| FY 2022-23 Q2 | Work Authority | 30 | 0 | 5 | 12 | 42% |
|  | Total | n/a | 5 | 10 | 27 | 37% |
| FY 2022-23 Q1 | Exploration Licence | 90 | 11 | 19 | 30 | 63% |
| FY 2022-23 Q1 | Mining Licence | 120 | 2 | 4 | 6 | 67% |
| FY 2022-23 Q1 | Prospecting Licence | 90 | 0 | 3 | 3 | 100% |
| FY 2022-23 Q1 | Retention Licence | 120 | 0 | 0 | 0 | N/A |
| FY 2022-23 Q1 | Work Authority | 30 | 6 | 10 | 16 | 63% |
|  | Total | n/a | 19 | 36 | 55 | 65% |

#### Result

The Client Service Standard (CSS) is the percentage of licence variations assessed within departmental agreed time frames where a statutory time frame does not exist.

In Q2, 37% (10 out of 27) of licence variations were completed within the CSS.

**Explanatory note:**

17 licence variations over the CSS target periods comprised renewals, transfers, full surrenders or licence area changes. Due to their intricate nature, extra time was required to assess and process these licence variations.

Earth Resources Regulation undertook recruitment activities focused on temporarily expanding the licensing team’s capacity to address the current legacy caseload.

### Why are these measures important?

Earth Resources Regulation began reporting on the Client Service Standard in July 2017. This indicator measures how well the department meets the Client Service Standard when processing licence variation approvals.

## KPI: 1 Efficient Approvals Process – Petroleum Licence Variations

### Petroleum licence variations approved

#### FY 2022-23 Q2

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Licence Type | Full Surrender | Licence Conditions Change | Registration of Dealing | Suspension and Extension | Transfer | Total |
| Onshore Petroleum Exploration Permit | 0 | 0 | 0 | 1 | 0 | 1 |
| Total | 0 | 0 | 0 | 1 | 0 | 1 |

#### FY 2022-23 Q1

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Licence Type | Full Surrender | Licence Conditions Change | Registration of Dealing | Suspension and Extension | Transfer | Total |
| Onshore Petroleum Exploration Permit | 1 | 0 | 0 | 0 | 0 | 1 |
| Total | 1 | 0 | 0 | 0 | 0 | 1 |

#### Result

In Q2, there was one approval given for a variation to the work program of an offshore petroleum exploration permit.

KPI 1: Efficient Approvals Process – Petroleum Operation Plans

### Petroleum – Operation Plans

#### FY 2022-23 Q2

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Licence Type | Plans  Accepted | Environment Plan | Field Development Plan | Operation Plan Stages | Storage Management Plan | Total Stages Assessed | Unique Plans Under Assessment |
| Offshore Pipeline Licence | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| Offshore Petroleum Retention Lease | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| Total | 0 | 2 | 0 | 0 | 0 | 0 | 2 |

#### FY 2022-23 Q1

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Licence Type | Plans  Accepted | Environment Plan | Field Development Plan | Operation Plan Stages | Storage Management Plan | Total Stages Assessed | Unique Plans Under Assessment |
| Offshore Pipeline Licence | 1 | 1 | 0 | 0 | 0 | 1 | 1 |
| Onshore Petroleum Production Licence | 1 | 0 | 0 | 0 | 4 | 4 | 3 |
| Total | 2 | 1 | 0 | 0 | 4 | 5 | 4 |

### Result

In Q2, one environment plan for an offshore pipeline licence and one for an offshore petroleum retention lease were assessed.

Both plans were returned to the applicants requesting changes.

Note: Hydraulic fracturing and coal seam gas exploration and extraction are banned in Victoria.

## KPI 1: Efficient Approval Process - Work Plan Administrative Updates by Notification

### Mining notifications

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Notifications | FY 2021-22 Q3 | FY 2021-22 Q4 | FY 2022-23 Q1 | FY 2022-23 Q2 | Total |
| Received | 2 | 5 | 0 | 0 | 7 |
| Acknowledged | 2 | 3 | 0 | 0 | 5 |
| Refused | 1 | 1 | 0 | 0 | 2 |

### Extractive industries notifications

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Notifications | FY 2021-22 Q3 | FY 2021-22 Q4 | FY 2022-23 Q1 | FY 2022-23 Q2 | Total |
| Received | 6 | 7 | 4 | 7 | 27 |
| Acknowledged | 3 | 2 | 3 | 3 | 11 |
| Refused | 2 | 2 | 1 | 3 | 7 |

### Explanation for the result

### In Q2, seven extractive industries submissions were reviewed, of which three administrative changes were acknowledged. Two did not meet the criteria for an administrative update and one was withdrawn by the authority holder.

### Administrative updates by notification

Can be made by notification for current licences and work authorities where they meet the criteria including:

* no significant increase in risk arising from the new or changing work
* Council has been consulted and confirms in writing that the new or changing work does not require an amended or new planning permit
* relevant referral agencies have been consulted and confirmed that the new or changing work raises no concerns and conditions are not required
* no change to the community engagement plan.

More information is available on the website:<https://earthresources.vic.gov.au/licensing-approvals/extractives-industry-work-authority/work-approval-process-for-extractive-industries>

## Rehabilitation Liabilities and Bonds

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Rehabilitation Work Program in Q2 | Extractives | Mineral | Petroleum | Total |
| Number of liability assessment notices issued | 0 | 1 | 0 | **1** |
| Number of initial bond notices issued | 8 | 1 | 0 | **7** |
| Number of further bond notices issued | 5 | 2 | 0 | **9** |
| Number of further / initial bonds received | 5 | 8 | 0 | **13** |
| Number of bond returns | 2 | 6 | 0 | **8** |
| Number of authority holders using the voluntary annual review option | 0 | 0 | 0 | **0** |
| Number of site inspections by the Rehabilitation Liability Assessment & Bonds team | 5 | 9 | 6 | **20** |

### Why Rehabilitation bonds are required and in what form

Effective site rehabilitation underpins public confidence in the resources sector and the regulator.

Authority holders are required to lodge bonds for the estimated full cost for the State to conduct rehabilitation works if an authority holder defaults on their obligations. Rehabilitation liabilities are estimated based on the maximum point of site disturbance on a project’s life cycle.

Bonds are mostly required to be lodged in the form of a bank guarantee issued by a bank authorised by the Australian Prudential Regulatory Authority and executable by the State. Cash bonds are accepted where the bond total is $50,000 or less.

A comprehensive list of the rehabilitation bonds in place for all earth resources sites across Victoria is available on the regulator’s website.

### Progressive approach and options for authority holders

Earth Resources Regulation is implementing a Regulatory Practice Strategy for the Rehabilitation of Earth Resources Sites, which is available on the regulator’s website.

The regulator is working with authority holders to progressively review their site rehabilitation liabilities for:

Twenty high priority sites taking account of a range of risks.

Sites subject to major expansions or ownership transfers.

Sites that have self-reported a significant bond deficiency.

Sites that have voluntarily requested a rehabilitation liability and bond review to recognise their actual stage of site operations or progressive rehabilitation

Regulatory practice strategy for the rehabilitation of earth resources sites*:*[Earth-Resources-Regulation-Regulatory-Practice-Strategy-for-the-Rehabilitation-of-Earth-Resources-Sites-February-2020.pdf (earthresources.vic.gov.au)](https://earthresources.vic.gov.au/__data/assets/pdf_file/0018/511920/Earth-Resources-Regulation-Regulatory-Practice-Strategy-for-the-Rehabilitation-of-Earth-Resources-Sites-February-2020.pdf)

Rehabilitation bonds held by the State interactive dashboard:<https://earthresources.vic.gov.au/community-and-land-use/rehabilitation>

## KPI 2: Ensuring Compliance – Compliance Activities Undertaken

### Extractive industries licences

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Activity | Oct | Nov | Dec | Total |
| Inspection | 7 | 18 | 5 | 30 |
| Audit | 0 | 2 | 1 | 3 |
| Meeting | 3 | 4 | 1 | 8 |
| Site Closure | 0 | 2 | 1 | 3 |
| Total | 10 | 26 | 8 | 44 |

### Mining licences

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Activity | Oct | Nov | Dec | Total |
| Inspection | 5 | 3 | 11 | 19 |
| Audit | 2 | 0 | 1 | 3 |
| Meeting | 3 | 1 | 3 | 7 |
| Site Closure | 0 | 0 | 1 | 1 |
| Total | 10 | 4 | 16 | 30 |

### Petroleum licences

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Activity | Oct | Nov | Dec | Total |
| Inspection | 6 | 0 | 8 | 14 |
| Total | 6 | 0 | 8 | 14 |

### Total licence activities

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| All activities | Oct | Nov | Dec | Total |
| Total | 26 | 30 | 32 | 88 |

### Result

In Q2, Earth Resources Regulation conducted 88 proactive compliance activities involving 69 authority holders.

#### Explanatory note:

Earth Resources Regulation is implementing a modern compliance operating model based on an intelligence-led, statewide tasking and coordination approach to ensure that authority holders fulfil their regulatory obligations. The new approach combines a range of education and enforcement activities.

### Why are these measures important?

Earth Resources Regulation undertakes proactive compliance activities using a risk-based prioritisation approach. Activities include audits, inspections, meetings with duty holders and site closures after reviewing rehabilitation outcomes.

Earth Resources Regulation undertakes compliance actions under the *Mineral Resources (Sustainable Development) Act 1990*, *Petroleum Act 1998* and other legislation to identify and act on non-compliance by authority holders that has or is likely to result in a risk to public safety, the environment, land, property or infrastructure, or fails to comply with licence, work authority or approved work plan requirements.

## KPI 2: Ensuring Compliance – Compliance Audits

### Compliance audits

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Type of Audit | FY 2021-22 Q3 | FY 2021-22 Q4 | FY 2022-23 Q1 | FY 2022-23 Q2 | Total | % Total |
| Progressive Rehabilitation | 4 | 7 | 2 | 0 | 14 | 33% |
| Plan and Conditions | 3 | 0 | 1 | 3 | 11 | 26% |
| Water Management | 0 | 0 | 0 | 0 | 0 | 0% |
| Dust | 0 | 1 | 0 | 0 | 1 | 2% |
| Noise | 1 | 0 | 0 | 0 | 1 | 2% |
| Geo Technical | 2 | 0 | 0 | 0 | 2 | 5% |
| Fire and Emergency | 1 | 0 | 0 | 2 | 3 | 7% |
| Boundaries and Extraction Limits | 1 | 3 | 0 | 0 | 4 | 10% |
| Site Security and Buffer Zones | 0 | 0 | 1 | 0 | 1 | 2% |
| Impacts of Blasting | 0 | 0 | 0 | 0 | 0 | 0% |
| Exploration Drilling | 0 | 0 | 0 | 0 | 0 | 0% |
| Imported Materials | 0 | 0 | 0 | 0 | 0 | 0% |
| Plan and Conditions (High-Risk) | 1 | 0 | 0 | 0 | 1 | 2% |
| Pest, Plant and Animal | 0 | 1 | 0 | 0 | 1 | 2% |
| TSF Management | 0 | 1 | 1 | 1 | 3 | 7% |
| Total | 13 | 17 | 5 | 6 | 42 | 100% |

#### Result

Earth Resources Regulation conducted six audits in Q2, with four requiring remedial action. This result is similar to the previous quarter.

#### Explanatory note:

Earth Resources Regulation’s audit program is risk-based with a focus on more significant or high-risk sites or activities. The number of actions required can be dependent on the type of audits completed and the number of ‘follow up’ audits from previously identified risks.

##### Why are these measures important?

Earth Resources Regulation's compliance program aims to improve industry performance by including audits focused on key risks to the environment and protection of public safety.

This indicator measures the number of field audits conducted addressing key risks and how compliant industry is.

 For further information on compliance priorities, see the Earth Resources Regulation Compliance Strategy on the website:

<https://earthresources.vic.gov.au/legislation-and-regulations/compliance-enforcement>

### Remedial action / No action after audits

|  |  |  |
| --- | --- | --- |
| Quarter | Remedial Action Required | No Action Required |
| FY 2021-22 Q3 | 10 | 3 |
| FY 2021-22 Q4 | 10 | 7 |
| FY 2022-23 Q1 | 5 | 0 |
| FY 2022-23 Q1 | 4 | 2 |

#### Result

4 audits out of 6 audits completed during the quarter require remedial actions.

#### Why are these measures important?

This indicator measures the number of current tenements that have had a compliance activity undertaken and shows how many authority holders are meeting requirements.

## KPI 2: Ensuring Compliance – Enforcement Activities

### General enforcement notices issued in the quarter

|  |  |  |  |
| --- | --- | --- | --- |
| Sector | Enforcement Action Type | Enforcement Code | No. of Notices |
| Extractives | s110 Notice | Drainage, Erosion and Discharge | 1 |
| Extractives | s110 Notice | Water Dams | 1 |
| Extractives | s110 Notice | Other | 1 |
| Extractives | s110 Notice | Documentation and Records | 1 |
| Mining | s110 Notice | Tailings and Slime Management | 1 |
| Mining | s110 Notice (Stop Work) | Tailings and Slime Management | 1 |
| Total |  |  | 6 |

### Infringements and official warnings issued

|  |  |
| --- | --- |
| Activities | Issued in the quarter |
| Infringement Notice | 436 |
| Written Instruction | 7 |
| Direction | 2 |
| Total | 445 |

#### Result

In Q2, there were 451 enforcement actions taken, which is higher than previous quarters.

#### Explanatory note:

##### 435 of the 436 infringements issued to authority holders during the quarter were being for their failure to submit an annual report

## KPI 3: Reportable Incidents

### Reportable incidents in the quarter

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Sector | Classification | Incident Type | Enforcement Code | Incident Responded To | Incident Status | Incident Count |
| Mineral | Minor | Public Safety | Fire Precautions and Risk Control | Yes | Resolved | 33 |
| Mineral | Minor | Public Safety | Other  (Not Specified Above) | Yes | Resolved | 1 |
| Mineral | Minor | Infrastructure | Other  (Not Specified Above) | Yes | Resolved | 2 |
| Mineral | Minor | Legislation Breach | Other  (Not Specified Above) | Yes | Resolved | 1 |
| Extractives | Minor | Environmental | Environmental Incident Notification | Yes | Resolved | 1 |
| Extractives | Minor | Environmental | Other  (Not Specified Above) | Yes | Resolved | 1 |
| Extractives | Minor | Environmental | Slope Stability | Yes | Resolved | 2 |
| Extractives | Minor | Environmental | Slope Stability | Yes | Open | 1 |
| Petroleum | Significant | Environmental | Other  (Not Specified Above) | Yes | Open | 1 |
| Total |  |  |  |  |  | 43 |

### Non - reportable incidents in the quarter

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Sector | Classification | Incident Type | Enforcement Code | Incident Status | Incident Count |
| Mineral | Minor | Infrastructure | Slope Stability | Resolved | 1 |
| Total |  |  |  |  | 1 |

#### Result

There were 43 reportable incidents in Q2 with the majority of these relating to small coal smoulders, slope stability and water discharge. 41 reportable incidents were resolved and two are under investigation.

Earth Resources Regulation will continue to proactively undertake compliance activities, focusing on stability, public safety and environmental protection.

#### Why are these measures important?

This measure shows whether Earth Resources Regulation is responsive to Reportable (high-risk) incidents and the number of Non-Reportable (low-risk) incidents that occur at tenement sites. Depending on its complexity, an incident may be resolved in the current or subsequent quarters.

## KPI 4: Facilitation of Stakeholder Engagement

### Environmental review committee attendance

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Meetings | 2021-22 Q3 | 2021-22 Q4 | 2022-23 Q1 | 2022-23 Q2 |
| Planned | 23 | 11 | 13 | 9 |
| Attended | 23 | 11 | 13 | 9 |
| % Attendance | 100% | 100% | 100% | 100% |
| Target | 100% | 100% | 100% | 100% |

#### Result

Earth Resources Regulation attended 100% of the scheduled Environmental Review Committee (ERC) meetings in Q2.

#### Why are these measures important?

The stakeholder engagement indicator covers the interaction between the regulator, authority holders, co-regulators and the community by reporting active participation by Earth Resources Regulation (ERR) at Environmental Review Committee (ERC) meetings. ERC meetings do not occur for all sites and ERR typically only attends meetings for priority sites or where significant community interest is present. ERR has made a commitment in the Compliance Strategy to report on ERC attendance quarterly.

### Industry Reference Group

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| 2022-23 Q1 | 2022-23 Q2 | 2022-23 Q3 | 2022-23 Q4 | Annual Total | FY Target |
| 0 | 0 |  |  | 0 | 4 |

#### Explanation for the result

The target is an annual figure based on Industry Reference Group (IRG) meetings being scheduled every three months.

The IRG did not meet in Q1 or Q2 due to multiple leadership changes within Earth Resources Regulation. These meetings will be prioritised throughout 2023.

#### Why are these measures important?

The Industry Reference Group provides a forum for engagement and consultation with stakeholder representatives from industry associations and government agencies regarding statutory, regulatory and stakeholder relations issues and activities in the context of the current policy and regulatory framework.

### Earth Resources Regulators Forum

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| 2022-23 Q1 | 2022-23 Q2 | 2022-23 Q3 | 2022-23 Q4 | Annual Total | FY Target |
| 1 | 0 |  |  | 1 | 3 |

#### Explanation for the result

The target is an annual figure based on three Earth Resources Regulator Forum meetings being scheduled each financial year.

There was one meeting held in Q2. Meetings are scheduled for Q3 and Q4.

#### Why are these measures important?

The Earth Resources Regulators Forum drives a coordinated, strategic approach to regulation in the earth resources sector. Through the forum and its independent chair, Earth Resources Regulation coordinates its activities with other regulators to improve timeliness, reduce compliance and administrative costs to industry, and identify and resolve any regulatory gaps or overlaps. This includes a focus on more real-time communication, strategic sequencing, common risk assessment and partnerships with local government authorities.

## KPI 5: Complaints Management

### Response times to complaints in the quarter

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Sector | Enforcement Codes | Number of Complaints | Ave Days to Respond | \*Median Days to Respond |
| Extractives | Noise Emissions | 3 | 2 | 2 |
| Extractives | Native Vegetation and Fauna | 2 | 3 | 3 |
| Extractives | Explosives Air and Ground Vibration | 2 | 1 | 1 |
| Extractives | Slope Stability | 1 | 2 | 2 |
| Extractives | Reporting, Monitoring and Auditing | 1 | 1 | 1 |
| Extractives | Impacts Outside Tenement Site | 1 | 2 | 2 |
| Extractives | Rehabilitation of Site | 1 | 4 | 4 |
| Mining | Noise Emissions | 3 | 1 | 1 |
| Mining | Drainage, Erosion and Discharge | 1 | 2 | 2 |
| Mining | Internal Roads and Tracks | 1 | 19 | 19 |
| Total |  | 16 | 3 | 2 |

\*Median Days: Arranging the days to respond in order and then selecting the middle value. Median is used to minimise the impact of outliers.

#### Result

There were 16 complaints in Q2. The average response time was three business days. Two responses exceeded the target, including one taking 19 days to respond. Eight complaints were resolved and eight are under investigation.

#### Explanatory note

The 19-day response time related to a complaint raised via a third party and the time involved in obtaining this complainant’s contact details to follow-up with them directly.

#### Why are these measures important?

The complaints handling process is an important aspect of effective stakeholder management and building confidence in Earth Resources Regulation as an effective regulator.

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